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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Takafumi UENO

Group Art Unit: 2133

Serial No

: 10/619,524

Examiner: Not Yet Assigned

Filed

: July 16, 2003

For

: TRANSMISSION APPARATUS AND RECEIVING APPARATUS

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and supplemental to the Information Disclosure Statement filed on October 14, 2003, Applicant submits herewith a copy of a European Search Report from the European Patent Office mailed on February 20, 2004, with respect to counterpart European Patent Application No. EP 03 01 6122, in which the European Patent Examiner cited the following documents:

International Organisation for Standardisation, ISO/IEC JTC1/SC 29/WG 11 (ISO/IEC 13818-1:2000 PDAMZ), March 2002, pages I-VI, 1-53;

International Patent Application Publication No. WO 01/91052 A2, which was published on November 29, 2001;

"Intellectual Property Management and Protection in MPEG Standards," ISO/IEC JTC1/SC29/WG 11 N3943, January 2001;

KAMPERMAN et al., "Call for Contributions on: Rights Management & Protection System Technologies," The TV-Anytime Forum, September 24, 2001, retrieved from

ftp://tva:tvaftp.bbc.co.uk/pub/Plenary/TV088r3.zip on June 20, 2003;

Van RIJNSOEVER, B. J. et al., "Interoperable Content Protection for Digital TV," 2000 IEEE International Conference on New York, July 30, 2000, pages 1407-1410;

PERRITT, H. H., "Knowbots, Permissions Headers and Contract Law," <u>Proceedings</u>

<u>Technological Strategies for Protecting Intellectual Property in the Networked Multimedia</u>

<u>Environment</u>, April 2, 1993, pages 39-50; and

International Patent Application Publication No. WO 03/039155 A2, which was published on May 8, 2003.

The category of relevance, pertinent portions, and claims to which each above-listed document is relevant, as ascertained by the European Examiner applying European rules to the European claims are set forth in the European Search Report, a copy of which is attached hereto.

Applicant respectfully request that the Examiner consider the above materials and cite the patent documents. Copies of the above-noted documents are attached and have been listed on the attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached PTO-1449 Form and to return a copy of the Form to the Applicant with the next official communication in the present application to confirm consideration of these documents.

## P23974.A03

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted, Takafumi UENO

Bruce H. Bernstein

Reg. No. 29,027

March 10, 2004 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191 Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. P23794

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INFORMATION DISCLOSURE STATEMENT Applicant Takafumi UENO BY APPLICANT (Use several sheets if necessary) Filing Date Group July 16, 2003 2133 U.S. PATENT DOCUMENTS **EXAMINER** FILING DATE DOCUMENT NUMBER DATE NAME CLASS **SUBCLASS** IF APPROPRIATE INITIAL FOREIGN PATENT DOCUMENTS **TRANSLATION** DOCUMENT NUMBER COUNTRY **CLASS SUBCLASS** DATE YES NO 0 0 5 11/29/01 W.I.P.O 0 5 5 05/08/03 W.I.P.O 3 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) International Organisation for Standardisation, ISO/IEC JTC1/SC 29/WG 11 (ISO/IEC 13818-1:2000 PDAMZ), March 2002, pages I-VI, 1-53. "Intellectual Property Management and Protection in MPEG Standards," ISO/IEC JTC1/SC29/WG 11 N3943, January 2001. KAMPERMAN et al., "Call for Contributions on: Rights Management & Protection System Technologies," The TV-Anytime Forum. September 24, 2001, retrieved from ftp://tva:tvaftp.bbc.co.uk/pub/Plenary/TV088r3.zip on June 20, 2003. Van RIJNSOEVER, B. J. et al., "Interoperable Content Protection for Digital TV," 2000 IEEE International Conference on New York, July 30, 2000, pages 1407-1410. PERRITT, H. H., "Knowbots, Permissions Headers and Contract Law," Proceedings Technological Strategies for Protecting Intellectual Property in the Networked Multimedia Environment, April 2, 1993, pages 39-50.

**EXAMINER** 

DATE CONSIDERED

<sup>\*</sup>EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.